



## TRUST BOARD

**Title:** KEY ISSUES AND ESCALATION POINTS  
**Name of Committee:** Audit Committee  
**Committee Chair:** Geoff Lambert  
**Meeting Date:** 08 April 2019

### Summary of key messages:

The committee received updates on the following areas:

- Local Counter Fraud Services
- Internal Audit
  - Progress report
  - Follow-up report
  - Draft Internal Audit Plan 2019/20
  - Draft Head of Internal Audit Opinion
- External Audit
  - Progress Report
  - Annual Plan
- Review of Draft Annual Governance Statement
- Update on the Board Assurance Framework
- Waivers and special payments
- Key Issues from Data Quality Group

The Internal Audit Plan for 2018/19 was approved. The plan was to cover the following areas:

- Business Continuity
- Sickness Absence Management
- Sharing of Learning
- Freedom to Speak Up
- Management of Sub-contracted Providers
- Data Security and Protection Toolkit
- Data Quality and Quality Accounts
- Key Financial Controls including Financial Planning and Delivery
- Risk Management

The Head of Internal Audit Opinion was as follows:



The following table summarises the outcomes from each internal audit assignment completed in 2018/19:

Internal Audit Description	Assurance Opinion
Financial Planning and Delivery (including CIP)	
Key Financial Controls – General Ledger, Accounts Receivable and Payroll	
Business Development	
Effectiveness of Joint Working Arrangements with East London NHS Foundation Trust	
Learning from Patient Safety Indicators including Never Events	
Implementation of New Models of Care	
GDPR Compliance	Advisory Only
Board Assurance Framework	
Divisional Governance and Assurance Arrangements	
Quality Accounts	
Consultant Job Planning	
Management of Drug Stocks on Wards	

The committee received an inaugural key issues report from the Data Quality Group. The committee also reviewed the group's terms of reference. A report from the group was to be presented to the committee 4 times a year.

### Escalation Points:

None

### Emerging Risks/Issues:

None

### Examples of Outstanding Practice or Innovation:

1. The Internal Auditors commended the rate of implementation of internal audit actions. The Trust had achieved 92% implementation rate.
2. The Trust achieved substantial assurance on the Implementation of New Models of Care demonstrating that the Trust had robust arrangements for redesigning services and implementing new models of care.

Author:	<b>Taff Gidi</b>
Job Title:	<b>Assistant Director of Corporate Governance</b>
Date:	<b>30 April 2019</b>