

TRUST BOARD

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| Title: | Extraordinary Audit Committee: Key Issues Report |
| Action: | FOR DISCUSSION/ NOTING |
| Meeting: | 11 July 2018 |

Purpose:

In line with the Trust's delegation framework this paper updates the Board on key messages from the Extraordinary Audit Committee and any points of escalation.

This paper relates specifically to from the Audit Committee meeting held on 25 May 2018.

Recommendation:

The Board is asked to note the update from the Audit Committee and discuss any issues arising.

| | Name | Title |
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| Author: | Taff Gidi | Assistant Director of Corporate Governance |
| Executive Sponsor: | Mark Robbins | Director of Finance & Resources |

Trust Objectives

| Objective | How the report supports achievement of the Trust objectives: |
|--------------------------------------|---|
| Provide outstanding care | The audit committee is responsible for ensuring that the Trust has adequate systems of control in place to deliver the Trust's objectives and ensure the Board receives sufficient assurance of this. |
| Collaborate with other organisations | |
| Be an excellent employer | |
| Be a sustainable organisation | |

Trust risk register

N/A

Legal and Regulatory requirements:

This Committee operates in line with corporate governance best practice, referring to guidance documents such as the UK Corporate Governance Code and Charities Act 2011.

Equality and Diversity implications:

This report does not include any specific Equality and Diversity implications.

| Objective | How the report supports achievement of objectives: | | | | | | | |
|---|--|--------------------------|--------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Achieve an improvement in the percentage of service users who report that they are able to access the Trust services that they require | Not applicable | | | | | | | |
| Enhance our approach to involving and capturing the experience of hard to reach / seldom heard / varied community groups | Not applicable | | | | | | | |
| Using the national 'A Call to Action on Bullying and Aggression', internally take action to promote our Zero tolerance policy and address bullying and aggression when it occurs. | Not applicable | | | | | | | |
| Ensure that the Workforce Race Equality Standard is embedded and undertake proactive work around any areas of under-representation identified. In particular, we will seek innovative methods to have co-opted representation on the Trust Board from more diverse backgrounds. | Not applicable | | | | | | | |
| Are any of the following protected characteristics impacted by items covered in the paper | | | | | | | | |
| Age | Disability | Gender Reassignment | Marriage and Civil Partnership | Pregnancy and Maternity | Race | Religion and Belief | Sex | Sexual Orientation |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Key Messages for the Board:

- The following reports for the 2017/18 were covered at the last meeting:
 - Annual Audit Report and Accounts
 - Internal Audit Annual Report including Head of Internal Audit Opinion
 - External Audit Annual Report
 - Local Counter Fraud Service Annual Report
- The Annual Report and Accounts were presented for formal approval by the Committee before signing by the designated officers.
- Internal Audit's Annual Report and Head of Internal Audit Opinion presented its overall positive opinion for 2017/18, of the Trust's adequacy and effectiveness of the Trust's risk management, control and governance processes, and this opinion contributed to the Trust's Annual Governance Statement.



- External Audit presented their Findings Report which was informed by their audit of the Trust's financial statements for 2017/18. Based on those findings they identified no areas requiring adjustment that would have resulted in any adjustment to the reported surplus and reported an unqualified audit opinion.
- Local Counter Fraud presented their annual summary against the NHS Counter Fraud Authority's anti-fraud standards, based on the work performed in line with the annual plan. The Self Review Tool (SRT) which is used to help in the overall assessment of the work undertaken provided an overall rating of "Amber", meaning the Trust is partially compliant with the standards but there is little or no impact on the work undertaken. Areas for improvement would be identified and agreed to inform the work plan for 2018/19.

Issues for Escalation

There were no points for escalation to the Trust Board in line with the escalation framework.