

## TRUST BOARD

---

Title:	<b>Audit Committee: Key Issues Report</b>
Action:	<b>FOR NOTING</b>
Meeting:	<b>12 September 2018</b>

### Purpose:

In line with the Trust's delegation framework this paper updates the Board on key messages from the Audit Committee and any points of escalation.

This paper relates specifically to from the Audit Committee meeting held on 09 July 2018.

### Recommendation:

The Board is asked to note the update from the Audit Committee.

	Name	Title
Author:	Taff Gidi	Assistant Director of Corporate Governance
Executive Sponsor:	Mark Robbins	Director of Finance & Resources

## Trust Objectives

Objective	How the report supports achievement of the Trust objectives:
Provide outstanding care	The audit committee is responsible for ensuring that the Trust has adequate systems of control in place to deliver the Trust's objectives and ensure the Board receives sufficient assurance of this.
Collaborate with other organisations	
Be an excellent employer	
Be a sustainable organisation	

## Trust risk register

N/A

## Legal and Regulatory requirements:

This Committee operates in line with corporate governance best practice, referring to guidance documents such as the UK Corporate Governance Code and Charities Act 2011.

## Previous Papers:

Title:	Date Presented:
N/A	

## Equality and Diversity implications:

This report does not include any specific Equality and Diversity implications.

Objective	How the report supports achievement of objectives:							
Achieve an improvement in the percentage of service users who report that they are able to access the Trust services that they require.	Not applicable							
To introduce people participation in our diversity and inclusion initiatives to capture the experience of hard to reach/seldom heard/varied community groups.	Not applicable							
To introduce wider diversity on recruitment selection panels.	Not applicable							
To deliver customised training and development for staff to further improve awareness of diversity and inclusion.	Not applicable							
Are any of the following protected characteristics impacted by items covered in the paper								
Age	Disability	Gender Reassignment	Marriage and Civil Partnership	Pregnancy and Maternity	Race	Religion and Belief	Sex	Sexual Orientation
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### **Key Messages for the Board:**

- The following reports for the 2017/18 were covered at the last meeting:
  - Internal Audit progress report and follow-up report
  - External Audit progress report
  - Local Counter Fraud Service progress report
  - Register of Dispensations
  
- The annual report and accounts was signed and submitted on time.
  
- The committee has seen evidence of significant improvement in the implementation of internal audit recommendations. The committee had previously highlighted this to the Board as a potential area of concern if the negative trend continued.
  
- The committee agreed the dates for 2019 committee meetings including the Extraordinary Audit Committee meeting scheduled for 24 May 2019 ahead of the submission date for the annual report and accounts.

### **Issues for Escalation**

There were no points for escalation to the Trust Board in line with the escalation framework.