

## TRUST BOARD

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Title:	<b>Audit Committee: Key Issues Report</b>
Action:	<b>FOR NOTING</b>
Meeting:	<b>14 November 2018</b>

### Purpose:

In line with the Trust's delegation framework this paper updates the Board on key messages from the Audit Committee and any points of escalation.

This paper relates specifically to from the Audit Committee meeting held on 08 October 2018.

### Recommendation:

The Board is asked to note the update from the Audit Committee.

	Name	Title
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Executive Sponsor:	Mark Robbins	Director of Finance & Resources

## Trust Objectives

Objective	How the report supports achievement of the Trust objectives:
Provide outstanding care	The audit committee is responsible for ensuring that the Trust has adequate systems of control in place to deliver the Trust's objectives and ensure the Board receives sufficient assurance of this.
Collaborate with other organisations	
Be an excellent employer	
Be a sustainable organisation	

## Trust risk register

N/A

## Legal and Regulatory requirements:

This Committee operates in line with corporate governance best practice, referring to guidance documents such as the UK Corporate Governance Code and Charities Act 2011.

## Previous Papers:

Title:	Date Presented:
N/A	

## Equality and Diversity implications:

This report does not include any specific Equality and Diversity implications.

Objective	How the report supports achievement of objectives:							
Achieve an improvement in the percentage of service users who report that they are able to access the Trust services that they require.	Not applicable							
To introduce people participation in our diversity and inclusion initiatives to capture the experience of hard to reach/seldom heard/varied community groups.	Not applicable							
To introduce wider diversity on recruitment selection panels.	Not applicable							
To deliver customised training and development for staff to further improve awareness of diversity and inclusion.	Not applicable							
Are any of the following protected characteristics impacted by items covered in the paper								
Age	Disability	Gender Reassignment	Marriage and Civil Partnership	Pregnancy and Maternity	Race	Religion and Belief	Sex	Sexual Orientation
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## **Key Messages for the Board:**

- The committee received reports on the following areas:
  - Internal Audit progress report and follow-up report
  - External Audit progress report
  - Local Counter Fraud Service progress report
  - Board Assurance Framework and Risk Management including the formal presentation of the Deloitte Independent Well Led Governance Review report to the committee.
- The committee was briefed on the proactive work that was ongoing prevent and deter work in relation to agency workers. This work would cover timesheets, pre-employment checks and agency workers not recruited centrally to ensure that Trust policies and procedures were being followed.
- An audit of Financial Planning and Delivery including Cost Improvement Plans had been completed which had achieved substantial assurance. The audit concluded that schemes were well supported by evidence demonstrating review and sign off by relevant Executive Team members in respect of the scheme risks and Quality Impact Assessments. They also found that Trust had a clear governance framework in place for feedback and challenge to directorates on scheme performance in addition to higher level reviews of the overall programme delivery.
- Internal Audit had completed a review of Learning from Patient Safety Indicators including Never Events which had achieved reasonable assurance. The report had been presented to the Quality Improvement and Safety Committee to discuss learning from the review.
- While good progress had been made on implementation of actions as previously reported, there were still some specific areas requiring further improvement. Further actions had been agreed to improve on this.
- Internal audit had also presented their annual NHS Benchmarking of Internal Audit Findings 2017/18 assessing the Trust against peer organisations. The Trust was in line with average performance by other NHS organisations.

## **Issues for Escalation**

There were no points for escalation to the Trust Board in line with the escalation framework.